

Agenda

Meeting: Audit Committee

**Venue: Meeting Room 3, County Hall,
Northallerton**

**Date: Thursday 27 September 2012 at 1.30
pm**

**Note: Members are invited to attend an
informal discussion with Gary Fielding
(Corporate Director for Strategic
Resources with effect from 1 October
2012) at 1.00pm in Meeting Room 3.**

Business

1. Minutes of the meeting held on 28 June 2012.

(Pages 1 to 8)

2. Public Questions or Statements.

Members of the public may ask questions or make statements at this meeting if they have delivered it in writing or by electronic mail to Ruth Gladstone of Democratic Services (*contact details below*) no later than midday on Monday 24 September. Each speaker should limit himself/herself to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

3. Progress on Issues Raised by the Committee – Joint report of the Corporate Director – Finance and Central Services and the Assistant Chief Executive (Legal and Democratic Services).

(LATE REPORT Pages 31-36)

Policy / Planning / Regulatory Items

4. Resignation of Henry Cronin from the Committee – Report of the Assistant Chief Executive (Legal and Democratic Services).

(Pages 9 to 11)

5. Report to Members on the 2011/12 Audit by the External Auditor:-

(a) North Yorkshire Pension Fund.

(LATE REPORT Pages 281-304)

(b) North Yorkshire County Council.

(LATE REPORT Pages 305-336)

6. North Yorkshire Pension Fund Annual Report 2011/12.

(LATE REPORT Pages 37-163)

7. Statement of Final Accounts including Letter of Representation 2011/12 – Report of the Corporate Director – Finance and Central Services (including separate booklet).

(LATE REPORT Pages 164-177)

8. External Audit Fee Letter 2012/13.

(LATE REPORT Pages 178-180)

9. Annual Report of the Audit Committee – Report of the Chairman of the Audit Committee.

(LATE REPORT Pages 181-188)

Monitoring of Progress / Performance Items

The following item will be considered at 3.00 pm or as soon as possible thereafter

10. Internal Audit Work and Related Internal Control Matters for the Health and Adult Services Directorate - Joint report of the Head of Internal Audit and the Corporate Director – Health and Adult Services.

(LATE REPORT Pages 189-216)

The following item will be considered at 3.30 pm or as soon as possible thereafter

11. Internal Audit Work on Computer Audit - Joint report of the Head of Internal Audit and the Assistant Director – ICT Services.

(LATE REPORT Pages 217-225)

12. Progress on 2012/13 Internal Audit Plan – Report of the Head of Internal Audit.

(LATE REPORT Pages 226-230)

13. Veritau Ltd – Progress Report – Oral report of the Head of Internal Audit.

14. Risk Management – Progress Report – Report of the Corporate Director – Finance and Central Services.
(LATE REPORT Pages 231-275)
15. Partnership Governance – Report of the Chief Executive.
(Pages 12 to 30)
16. Service Continuity Planning – Report of the Corporate Director – Finance and Central Services.
(LATE REPORT Pages 276-278)
17. Programme of Work – Report of the Corporate Director – Finance and Central Services.
(LATE REPORT Pages 279-280)
18. Such other business as in the opinion of the Chairman should, by reason of special circumstances, be considered as a matter of urgency.

Carole Dunn
Assistant Chief Executive (Legal and Democratic Services)
County Hall
Northallerton

19 September 2012
RAG/ALJ

Notes:

- (a) Members are reminded of the need to consider whether they have any personal or prejudicial interests to declare on any of the items on this agenda and, if so, of the need to explain the reason(s) why they have any personal interest when making a declaration.

The relevant Democratic Support Officer or Monitoring Officer will be pleased to advise on interest issues. Ideally their views should be sought as soon as possible and preferably prior to the day of the meeting, so that time is available to explore adequately any issues that might arise.

- (b) Tea and coffee will be available before the start of the meeting. Will Members please help themselves.

- (c) **Emergency Procedures for Meetings**

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. From **Meeting Room 3** this is the staircase outside Meeting Room 3 or, if that is unsafe, the main entrance stairway. Once outside the building please proceed to the fire assembly point outside the main entrance

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

If you discover a fire, you should sound the alarm and then dial 9-999 asking the Fire Brigade to come to the main County Hall Building, Northallerton. You should then ring County Hall Reception on 5586 to inform them where the fire is.

There are alarm points at each end of the Meeting Room corridor – and at the main stairway.

Accident or Illness

First Aid treatment can be obtained by telephoning extension 7575. If you need an ambulance dial 9-999 first and give your exact location.

AUDIT COMMITTEE

1. Membership

COUNTY COUNCILLORS - (8)				
1.	ARTHUR, Karl		Conservative	
2.	CHATT, Bill		NY Independent	
3.	De COURCEY-BAYLEY, Mrs Margaret-Ann	(Vice- Chairman)	Liberal Democrat	
4.	HESELTINE, Robert		NY Independent	
5.	JORDAN, Mike		Conservative	
6.	MULLIGAN, Patrick	(Chairman)	Conservative	
7.	PEARSON, Chris		Conservative	
8.	PLANT, Joe		Conservative	
MEMBERS OTHER THAN COUNTY COUNCILLORS - (3) NON-VOTING				
1.	VACANCY			
2.	DAGLISH, James ²			
3.	PORTLOCK, David ²			
TOTAL MEMBERSHIP - (11) QUORUM - (3) County Councillors				
CON	LIB DEM	NY IND	NON COUNTY COUNCILLORS	TOTAL
5	1	2	3	11

2. Substitute Members

<p style="text-align: center;">CONSERVATIVE</p> <p style="margin-left: 20px;">1. BATEMAN, Bernard</p> <p style="margin-left: 20px;">2. SOWRAY, Peter</p> <p style="margin-left: 20px;">3. KENYON, Jane</p> <p style="margin-left: 20px;">4. PEART, Dave</p>	<p style="text-align: center;">LIBERAL DEMOCRAT</p> <p style="margin-left: 20px;">1. BARNES, Keith</p> <p style="margin-left: 20px;">2. HOULT, Bill</p> <p style="margin-left: 20px;">3.</p>
<p style="text-align: center;">NY INDEPENDENT</p> <p style="margin-left: 20px;">1. BLACKIE, John</p> <p style="margin-left: 20px;">2.</p> <p style="margin-left: 20px;">3.</p> <p style="margin-left: 20px;">4.</p>	<p style="text-align: center;">INDEPENDENT</p> <p style="margin-left: 20px;">1.</p> <p style="margin-left: 20px;">2.</p>

Note: Members (including any Substitute Members) should only serve if they have received the necessary training.

² For term of office see County Council resolution of 19 May 2010

